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Two Year Work-plan

Work-plan for: 2017-2018

Project ID and Project Name: 00094671 PIMS 5310 Developing and Implementing the National Framework on Access to and Benefit Sharing of Genetic Resources and Associated Traditional Knowledge

Budget summary in US \$

Year2017				Year2018			
Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
72,990	549,916	200,290	652,594	104,940	530,270	148,590	456,260
Total for (Year 2017)			1,475,790	Total for (Year 2018)			1,264,060

Signature

Name Yu Lifeng
National Project Director
FECO, MEP

Date

15/5-2017

Signature

Name Patrick Haverman
Deputy Country Director
UNDP China

Date

Two-year Work Plan 2017-2018

CPD Outcome: More people enjoy a cleaner, healthier environment as a result of improved environmental protection and sustainable green growth.

UNDP SP Output 2.5: Legal and regulatory frameworks, policies and institutions enabled to ensure the conservation, sustainable use and access and benefit sharing of natural resources, biodiversity and ecosystems, in line with international conventions and national legislation

Project ID and Project Name: 5533 Developing and Implementing the National Framework on Access to and Benefit Sharing of Genetic Resources and Associated Traditional Knowledge

EXPECTED OUTPUTS/OUTCOMES INDICATORS	PLANNED ACTIVITIES	TIMEFRAME								RESPO-NSIBLE PARTY	PLANNED BUDGET					
		Q1 2017	Q2 2017	Q3 2017	Q4 2017	Q1 2018	Q2 2018	Q3 2018	Q4 2018		Source of Funds	COA	Description	Amount (2017)	Amount (2018)	Notes
Outcome 1 Establishment of a national regulatory and institutional framework on ABS																
Output 1.1 State Council of China is provided with information needed to formally approve accession to the Nagoya Protocol and provide support to the National Implementation Program for Nagoya Protocol (indicator: Elements (policy, legislation, guidance) of a national framework; China accession to NP, and draft for National Implementation Program for Nagoya Protocol)	Activity 1.1.1: Organize the Inception meeting and the 1st meeting of Project Steering Committee (PSC)										MEP	GEF		0	0	Completed in 2016
	Activity 1.1.2: Organize the 2nd meeting of Project Steering Committee (PSC)	0%	100%	0%	0%	0%	0%	0%	0%	0%	MEP	GEF	75700	3,000	0	
	Activity 1.1.3: Research on international ABS focuses and national experience of foreign countries and ABS case studies	0%	50%	50%	0%	0%	0%	0%	0%	100%	UNDP	GEF	71200	72,000	15,000	
		0%	0%	0%	0%	0%	0%	0%	0%	0%	UNDP	GEF	71300	0	6,000	
		0%	0%	0%	0%	0%	0%	0%	0%	100%	UNDP	GEF	71600	0	8,000	

	Activity 1.1.4: Case studies on foreign bio-prospecting in China	0%	40%	0%	60%	0%	100%	0%	0% MEP	GEF	72100	Sub-contract for case study work, which focuses on biopharmacy, cosmetics, health care products and agricultural products. total contrac budget amounts is \$120,000, and \$84,000 for 2017 and \$ 36,000 for 2018	84,000	36,000	
Activity 1.1.5: National field survey, international study tour on ABS and participation in international workshop and conference on ABS	10%	40%	10%	40%	40%	10%	40%	10%	40% MEP	GEF	71600	Travel of PMO and local and international consultants for implementation under Component 1. 2017: International travel for participating Protocol negotiations and related international activities, (airfare \$3,000(return), 10 days, 1 day: accomodation+ food + DSA=\$300 1 person total: \$6,000 \$6,000*5=\$30,000; national travel 4 person \$2,500/person, 2 times (3 PMO staff and 1 consultants) 2018: 5 persons, \$2,500/person 4times (4 PMO staff and 1 consultants); 4 persons, \$2,500/person 2 times (3 PMO staff and 1 consultants);	50,000	70,000	
Activity 1.1.6: Organize Consulting and Reviewing meetings for all research activities under the outcome 1, and participation relevant workshops	25%	25%	25%	25%	25%	25%	25%	25%	25% MEP	GEF	75700	Stakeholder consultations and decision-making meetings under Component 1, meetings and workshops for monitoring and guiding the implementation of the project. Participating national and international workshops related to component 1.	10,000	10,000	
Activity 1.1.7 Communications between various stakeholders	5%	5%	5%	85%	5%	5%	5%	5%	85% MEP	GEF	72400	Communications including telephone, internet, e-communication etc.,	1,000	1,000	
Activity 1.1.8 Report printing	0%	30%	30%	40%	0%	30%	30%	40%	40% MEP	GEF	74200	Printing and publication of knowledge products, posters, leaflets and workshop materials for Component 1	3,000	3,000	

	Activity 1.1.9 Contribute to the preparation for the Nagoya Protocol National Implementation Program	0%	40%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	MEP	GEF	71300	Inviting experts to write the implementation plan of the Nagoya Protocol – 2017:18weeks * 700 = 12600 2018:6weeks * 700 =4200	12,600	4,200
	Activity 1.1.10 Office facilities and equipment	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	MEP	GEF	72800	necessary equipment for working, office use , and hardware and software for ABS Clearing-House	1,000	1,000
Output 1.2: National ABS framework—including, if and as appropriate, NP compliant PIC and community protocols, model contracts, codes of conduct and certificates of compliance/origin on ABS—developed with stakeholder participation and in compliance with Nagoya Protocol (indicators: Incentive programs and codes of conduct for major commercial sectors)	Activity 1.2.1: Research on technical guidelines, including a series of standers for survey, inventory, database, social and cultural impact assessment, PIC, MAT, etc. for GRs & TK, codes of conduct for TK & GR from LCs, model of internationally recognized certificates of compliance, and others	0%	40%	0%	0%	0%	60%	0%	0%	0%	0%	0%	0%	MEP	GEF	72100	A sub-contract on ABS regime research, including the related policies, regulations, standards, norms and guidelines of internationally recognized certificates of compliances. total contrac budget amounts is \$67,200, and \$47,040 for 2017 and \$20,160 for 2018	47,040	20,160
Output 1.3: ABS framework formulated and operational, including: (i) plan for access to and benefit sharing of genetic resources and derivatives for commercial purposes; (ii) plan for access to genetic resources, traditional knowledge associated with genetic resources used for commercial purposes including application of PIC and community protocol, and benefit-sharing with regard to	Activity 1.3.1: Research on implementation plan for ABS of genetic resources and derivatives																A sub-contract on developing the implementation plan for ABS of genetic resources and derivatives, traditional knowledge, and GRs and TK for non-commercial uses. total contrac budget amounts is \$130,000, and \$80,000 for 2017 and \$ 50,000 for 2018	80,000	50,000
	Activity 1.3.2: Research on implementation plan for ABS of traditional knowledge, firstly with case studies	0%	0%	0%	0%	0%	100%	0%	0%	0%	0%	0%	0%	MEP	GEF	72100		80,000	50,000
	Activity 1.3.3: Research on implementation plan for ABS of GRs and TK for non-commercial uses	0%	0%	0%	0%	0%	100%	0%	0%	0%	0%	0%	0%						

Output 1.4: Establish national-level institutional arrangements for ABS (indicator: Instruments and mechanisms necessary to formally establish elements of the national framework)	Activity 1.4.1: Research on ABS clearing-house mechanism	0%	50%	0%	50%	0%	60%	0%	40%	MEP	GEF	72100	Subcontractor for establishment of national ABS Clearing-House, including data and information collecting- total contrac budget amounts is \$60,000, and \$18,000 for 2017 and \$42,000 for 2018.	18,000	42,000	
	Subtotal															
													71200	International Consultants	72,000	15,000
													71300	Local Consultants	12,600	10,200
													71600	Travel	50,000	78,000
													72100	Contractual services -	229,040	148,160
													72400	Communic & Audio Equip	1,000	1,000
													72800	Equipment & Furniture	1,000	1,000
													74200	Audio Visual& Print Prod Costs	3,000	3,000
													74500	Miscellaneous Expenses	0	0
												75700	Workshops	13,000	10,000	
														381,640	266,360	
Outcome 1 subtotal																

Outcome 2 ABS capacity building and awareness raising

Output 2.1: Enhanced capacity and awareness of implementation of China's ABS regime at the national and provincial levels (indicators: national and provincial level institutional capacity for ABS implementation, including awareness raising, survey, increased)	Activity 2.1.1 Public awareness raising material preparation, and training organizing consultant	0%	15%	20%	50%	40%	10%	0%	MEP	GEF	72100	Design, compilation and treatanation of materials, and training related consultations. Total price is \$30,000 2017:\$21000 2018:\$9000	21,000	9,000
	Activity 2.1.2 public awareness raising activities, including surveys and public campaign and relevant workshops	0%	50%	0%	50%	0%	50%	0%	50%	MEP	GEF	75700	Stakeholder consultations and decision-making meetings and trainings under Component 2.	30,000

	Activity 2.2.3: Travel to training and workshops	25%	25%	25%	25%	25%	25%	25%	25%	25%	25%	25%	MEP	GEF	71600	Travel of local and international consultants and officials for training and other capacity raising activities Component 2. 2017: 5 persons, \$2,500/person, 2 times (4 PMO staff and 1 consultants) 2018: 5 persons, \$2,500/person 2 times (4 PMO staff and 1 consultants); 4 persons, \$2,500/person 2 times (3 PMO staff and 1 consultants);	25,000	45,000
	Activity 2.2.4: Print training and workshop materials	0%	50%	0%	50%	0%	50%	0%	50%	0%	50%	0%	MEP	GEF	72200	Equipment related to project implementing, office use including equipment for checkpoints	10,000	3,000
		0%	50%	0%	50%	0%	50%	0%	50%	0%	50%	0%	MEP	GEF	74200	Printing and publication of knowledge products, posters, leaflets, workshop materials and communications including telephone, internet, e-communication etc.	10,000	10,000
	Activity 2.3: A platform to enable the sharing of technology and knowledge resources with Chinese stakeholders, including through the ABS Clearing-House (Indicators: meeting materials and participants information provided, interim report on communications for knowledge sharing)	0%	50%	0%	50%	0%	50%	0%	50%	0%	50%	0%	MEP	GEF	75700	Stakeholder consultations and decision-making meetings and trainings under Component 2.	5,000	5,000
	Activity 2.3.1: Organize meetings and seminars for information sharing mechanism participated by all stakeholders	0%	50%	0%	50%	0%	50%	0%	50%	0%	50%	0%	MEP	GEF	74500	Contingency expenses and transportation for component 2	2,500	2,500
	Activity 2.3.2: communications for knowledge sharing plan preparation	25%	25%	25%	25%	25%	25%	25%	25%	25%	25%	25%	MEP	GEF				
	Output 2.4: Systems and guidelines for sharing information and knowledge related to ABS among ministries (Indicators: information sharing plan)	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	MEP	GEF	71300	Contingency expenses and transportation for component 2	0	1,050
		0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	UNDP	GEF	71200	2018: International Evaluation Expert for mid-term evaluation of Component 2 combined with 1.1.3	0	0
Subtotal															71200	International Consultants	0	0
															71300	local consultants	33,650	34,650
															71600	Travel	25,000	45,000

71800	Long term consultants	49,950	49,950
72100	Contractual services -	158,250	136,750
72200	Equipment & Furniture	10,000	3,000
72800	Equipment & Furniture	3,000	3,000
72400	Communic & Audio Equip	1,000	1,000
74200	Audio Visual & Print Prod	10,000	10,000
74500	Miscellaneous Expenses	2,500	2,500
75700	Workshops	35,000	15,000
Outcome 2 subtotal		328,300	300,850

Outcome 3 Pilot demonstrations on ABS																	
Output 3.1: Enhanced understanding of genetic resources and associated traditional knowledge, related ABS opportunities and associated barriers in Hunan and Yunnan Provinces and Guangxi Autonomous Region (indicators: baseline survey information, and understanding of local ABS opportunities at the level of pilot provinces and jurisdictions.)	Activity 3.1.1 Pilots' field survey on the target and relevant species and ATK, for their distributions, populations, cultivations, bio-prospecting, commercial use and new usages, current and potential users, markets and exports, BS practice, etc.	0%	50%	0%	50%	0%	50%	0%	50%	0%	50%	MEP	GEF	72100	Sub-contracts for development of comprehensive ABS action plans to scale up pilot demonstrations into full ABS implementation with Yunnan, Guangxi and Hunan EPBs under Output 3.1. total contrac budget amounts is \$200,000, and \$100,000 for 2017 and \$100,000 for 2018	100,000	100,000
	Activity 3.1.2 Investigation and surveys in key sectors and industries, and consultations with key sectors and industries on ABS national framework establishment (eg. development and utilization of GR and ATK, and awareness on ABS)	0%	30%	0%	30%	0%	30%	0%	30%	0%	30%	MEP	GEF	72100	Sub-contractor on engaging enterprises of key industries in ABS national framework. total contrac budget amounts is \$95,000, and \$95,000 for 2017 and \$0 for 2018	95,000	0
	Activity 3.1.3: Travel for Filed surveys (national and international)	25%	25%	25%	25%	25%	25%	25%	25%	25%	25%	MEP	GEF	71600	Travel of local and international consultants Component 3. 2017: 4 persons, \$2,500/person, 1 times (3 PMO staff and 1 consultants) 2018: 3 persons, \$2,500/person 2 times (3 PMO staff);	10,000	15,000
	Activity 3.1.4 Analysis on demand for pilots' regions ABS on HR, coordinating mechanism, policies, regulations, institutions, technologies and information.	25%	25%	25%	25%	25%	25%	25%	25%	25%	25%	UNDP	GEF	71600	Travel of UNDP for M&E needs and other ad hoc events. 2017: 2 persons, \$2,500/person, 1 times 2018: 2 persons, \$2,500/person 1 times	5,000	5,000
															Sub-contracts for development of comprehensive ABS action plans to scale up pilot demonstrations into full ABS implementation		

<p>and others) in the pilot-demonstration jurisdictions (indicator: Quantity and nature of ABS agreements in China. ABS agreements negotiation records/reports)</p>	<p>Activity 3.3.2 Application case procedures in pilots of Yunnan, Guangxi and Human Province, including the negotiation, agreements, contracts, governmental approval, contract implementation, compliance, monitoring, etc.</p>	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
<p>Output 3.4 Lessons and experiences in implementing local ABS regimes are documented and disseminated for project's replication plan (indicators: Availability of best practice information on ABS implementation in China (lessons abstracts))</p>	<p>Activity 3.4.1 Summary and compilation of the project's achievements, experience and lessons for 2016-2017.</p>	0%	30%	40%	30%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
<p>Activity 3.4.2 Disseminate materials of experience of the pilots in international conferences, including organize side events in COP-14 (2018) and (COP-15,2020) for publicity of the project's experience on demonstration internationally.</p>	<p>Activity 3.4.2 Disseminate materials of experience of the pilots in international conferences, including organize side events in COP-14 (2018) and (COP-15,2020) for publicity of the project's experience on demonstration internationally.</p>	0%	50%	0%	50%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
<p>Subtotal</p>																								
<p>Outcome 3 subtotal</p>																								
<p>Project Management</p>																								

